# Fund order for retirement savings 3a

PostFinance

To PostFinance Retirement Savings Foundation 3a

## Personal details

Retirement savings account no. _____

Last name _____

First name _____

Date of birth _____

Telephone _____

## Choice of retirement fund (only one selection possible per order)

- ☐ PF Pension – ESG 25 Fund (ISIN no. CH0012056260)
- ☐ PF Pension – ESG 50 Fund (ISIN no. CH0012056203)
- ☐ PF Pension – ESG 75 Fund (ISIN no. CH0316793139)
- ☐ PF Pension – ESG 100 Fund (ISIN no. CH0484781684)
- ☐ PF Pension – Passive 25 Fund (ISIN no. CH1430112313)
- ☐ PF Pension – Passive 50 Fund (ISIN no. CH1430112321)
- ☐ PF Pension – Passive 75 Fund (ISIN no. CH1430112339)
- ☐ PF Pension – Passive 100 Fund (ISIN no. CH1430112347)

## Single order

- ☐ Purchase, amount[1] CHF _____
- ☐ Purchase (buy) total current retirement savings account credit[1]
- ☐ Redemption (sale), amount[2] CHF _____
- ☐ Redemption of all stock[2]

[1] Purchase will be at the next possible execution date.

[2] The proceeds will be credited to the retirement savings account 3a.

## Funds saving plan

☐ Open a funds saving plan:
Use retirement savings account credit to regularly invest in the selected retirement fund.

Amount _____ first execution date[3] _____

last execution date _____ ☐ until revoked

Frequency of fund subscription ☐ twice a month[4] ☐ monthly ☐ every two months ☐ quarterly

☐ Cancel (stop funds saving plan)[5]

[3] If the execution date falls on a Saturday, Sunday or general public holiday, the purchase will be made on the next possible execution date.
[4] The first execution date must be between the 1st and 15th day of the month.
[5] Does not include the sale of fund units. Only regular purchasing orders will be stopped.

## Retirement fund direct investment

☐ Open retirement fund direct investment:
Invest all future inpayments to retirement savings account 3a in the selected fund. An incoming payment is any credit to the retirement savings account 3a, including interest accrued.

☐ Cancellation of existing direct investment retirement fund[6]

[6] Does not include the sale of fund units. Only regular purchasing orders will be stopped.

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**Please take note of the following page**

## Risk disclosure and conditions for fund orders

**Introduction**

This information concerns the retirement planning solution 3a concluded between the pension solution holder and the PostFinance Retirement Savings Foundation 3a (hereinafter referred to as the Retirement Savings Foundation). The regulations for the PostFinance Retirement Savings Foundation 3a, which were accepted when the retirement planning solution 3a was concluded, apply to the customer relationship with the Retirement Savings Foundation.

PostFinance brokers fund orders and forwards them to the Retirement Savings Foundation for execution. The order is submitted without a consultation ("execution only"). PostFinance and the Retirement Savings Foundation do not conduct an appropriateness test or a suitability test for the submitted order. There is also no selective or ongoing monitoring of the 3a portfolio.

**Risk disclosure**

a) The customer must inform themselves appropriately about the risks associated with each investment decision.
b) Customers invest in investment products exclusively at their own risk and for their own account. Neither the Retirement Savings Foundation nor PostFinance assume liability for decisions made by the Customer.
c) Customers acknowledge that all available retirement funds have the potential for losses, some of which are significant. Retention of the capital invested is not guaranteed.
d) No representative or agent of the Retirement Savings Foundation or of PostFinance is authorized to issue oral or written assurances or guarantees relating to the specific performance of a retirement fund.

**Provisions of the retirement fund and order submission**

a) The PF Pension – ESG 25 Fund, PF Pension – Passive 25 Fund, PF Pension – ESG 50 Fund, PF Pension – Passive 50 Fund, PF Pension – ESG 75 Fund, PF Pension – Passive 75 Fund, PF Pension – ESG 100 Fund and PF Pension – Passive 100 Fund investment funds are issued in accordance with Swiss law.
b) The PF Pension – ESG 25 Fund, PF Pension – Passive 25 Fund complies with the provisions set out in OPO 2.
c) Based on Article 50, paragraph 4 of OPO 2, the equity component of the PF Pension – ESG 50 Fund, PF Pension – Passive 50 Fund, the PF Pension – ESG 75 Fund, PF Pension – Passive 75 Fund, the PF Pension – 100 Fund and PF Pension – Passive 100 Fund is higher than that of traditional retirement funds. The PF Pension – ESG 50 Fund, PF Pension – Passive 50 Fund, PF Pension – ESG 75 Fund, PF Pension – Passive 75 Fund, PF Pension – ESG 100 Fund and PF Pension – Passive 100 Fund investment funds are therefore suitable only for investors with the relevant risk capacity and risk appetite.
d) Orders must be sent to the Retirement Savings Foundation 3a in writing by post. If the information on this form is incomplete or unclear, the execution of the fund order on the next possible date cannot be guaranteed. The Retirement Savings Foundation accepts no liability for any resulting price differences.

**Basis for the fund order**

The following documents form the basis for the fund order:

- "Retirement fund with the retirement savings account 3a" product description,
- Factsheet: "Costs and sales remuneration for PostFinance retirement funds",
- Brochure: "Risks Involved in Trading Financial Instruments" (for your information),
- Key information document (KID) – for each individual fund I have purchased.

The documents are available at postfinance.ch/retirementplanning-information.

I acknowledge and accept that PostFinance receives remuneration from third parties when selling investment funds. I expressly waive my right to delivery of such remuneration and agree that PostFinance may retain this as remuneration for the sales services carried out. The amount of remuneration may change at any time. The latest overviews and detailed information on sales remuneration are available in the document "Factsheet: Costs and sales remuneration for PostFinance retirement funds" at postfinance.ch/retirementplanning-information.

I have read and agree to the information and documents listed above (unless otherwise indicated).

Location _____

Date _____ Signature _____

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**Please send the form to:**

PostFinance Retirement Savings Foundation 3a
P.O. Box
8098 Zurich