



Compliance and KYC information

PostFinance Ltd, 3030 Berne, Switzerland

General information

Since 2013, PostFinance has been an independent public limited company under private law, domiciled in Berne (Switzerland) and wholly owned by Swiss Post Ltd. The equity capital is fully paid in and is wholly owned by Swiss Post Ltd. PostFinance was granted a licence to operate as a bank and securities dealer on 26 June 2013 and is subject to regulation by the Swiss Financial Market Supervisory Authority (FINMA).

Legal reporting

PostFinance Ltd is legally obliged to publish a detailed annual and interim report on its business activities. This reporting also contains information that is required to maintain cross-border relationships with other financial institutions. For this reason, PostFinance does not generally answer individual AML/KYC Questionnaires.

You will find the following information in the annual report:

- Business activities and regulatory framework
- Strategy
- Key figures
- Corporate governance

Legal ownership of PostFinance Ltd

As per the information set out in our annual report, PostFinance Ltd is wholly owned by Swiss Post Ltd (Schweizerische Post AG). We do not have other shareholders at present and PostFinance Ltd is not listed on any stock exchange. Swiss Post Ltd itself is wholly owned by the Swiss Confederation.

Certification of registration

PostFinance Ltd is registered in the commercial register of the Canton of Berne, Switzerland. An electronic extract from the commercial register (issued in German) can be downloaded from our homepage.

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3030 Bern
Switzerland

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www.postfinance.ch/banks

Financial rating

PostFinance Ltd has been assigned a AA+ by rating agency Standard & Poor's. S&P's PostFinance Ltd rating report (PDF) can be downloaded from our website.

FATCA status

PostFinance Ltd is a Participating Foreign Financial Institution under a model II IGA and has the GIIN QZ4ATR.00000.LE.756.

SWIFT KYC registry

PostFinance has published the relevant KYC documentation in SWIFT's KYC registry (<https://kycregistry.swift.com>). Please contact your Relationship Manager to obtain access.

Visit us online

Please go to our website www.postfinance.ch/banks (click on "More information for banks abroad") to obtain further information on PostFinance Ltd. You will find the following information relevant to KYC / AML:

Due diligence

- Wolfsberg Questionnaire
- Patriot Act
- Certificate of registration
- Articles of Association
- Banking Authorisation
- FATCA status and GIIN

Company information

- Link to the "About us" section
- Information on the Executive Board and the Board of Directors
- Annual and interim reports
- Financial rating
- Current issue of our newsletter "News from PostFinance for financial institutions"